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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

<b>Address to:</b>	<b>Attorney Docket No.</b>	ARC920010097US1
Assistant Commissioner for Patents	<b>Inventor(s)</b>	SARKAR, Prasenjit et al.
Box Patent Application	<b>Express Mail Label No.</b>	EV 018932798 US
Washington, DC 20231	<b>Total Pages</b>	39
	<b>Assignee Name</b>	International Business Machines Corporation
	<b>Assignee Residence</b>	Armonk, New York

**Title of Application:** "SYSTEM AND METHOD FOR IMPROVING BACKUP PERFORMANCE OF MEDIA AND DYNAMIC READY TO TRANSFER CONTROL MECHANISM"

Transmitted with the patent application are the following:

- 1 Page(s) Transmittal form
- 24 Page(s) Specification, claims, abstract
- 10 Page(s) informal Drawings
- 2 Page(s) Non-executed Declaration and Power of Attorney
- Page(s) Recordation of Assignment
- Page(s) Assignment of the Invention to International Business Machines Corporation
- Page(s) Form 1449A and Information Disclosure Statement
- References cited in Form 1449A
- 1 Page(s) Return Receipt Postcard (MPEP 503)
- 1 Page(s) Check No. 1157 to cover the filing fee
- Page(s) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

This application is a: Continuation Divisional Continuation-in-Part of prior application Serial No. \_\_\_\_\_

**Fee Calculation**

	Claims Filed		Extra	Rate	Fees
<b>Basic Fee</b>					\$740.00
<b>Total Claims</b>	26	-20 =	5	\$18.00	\$108.00
<b>Independent Claims</b>	2	- 3 =	0	\$84.00	\$0.00
<b>Multiple Dependent</b>				\$280.00	\$0.00
				<b>Assignm</b>	\$40.00
				<b>TOTAL</b>	<b>\$888</b>

The PTO did not receive the following item(s) Recordation Sheet

The Commissioner is hereby authorized to credit overpayments or charge fees required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-0219. Duplicate sheet attached.

**EXPRESS MAIL CERTIFICATE**

I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, U.S. Patents and Trademark Office, Box: Patent Application, P.O.Box 2327, Arlington, VA 22201.  
Date of Deposit: 12/29/2001  
Person mailing paper/fee: Samuel A. Kassatly

Signature \_\_\_\_\_

Respectfully submitted,  
SARKAR, Prasenjit et al.

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The PTO did not receive the following listed item(s) Assignment  
Recordation Sheet

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